



CIRM

CALIFORNIA'S STEM CELL AGENCY

CIRM-FUNDED CLINICAL TRIALS

OCTOBER 2017

AMYOTROPHIC LATERAL SCLEROSIS, ALS

(Also known as Lou Gehrig's disease)

Cedars-Sinai Medical Center

Principal Investigator: Clive Svendsen

A team at Cedars-Sinai is transplanting millions of genetically engineered stem cells into patients with a degenerative nerve disease called ALS. When transplanted, these cells become astrocytes, the support cells that keep nerve cells functioning. Due to the genetic modifications, the cells also deliver high doses of a growth factor which has been shown to protect nerve cells. The goal of this early stage trial is to test the safety of this astrocyte replacement strategy in ALS patients.

BrainStorm Cell Therapeutics

Principal Investigator: Ralph Kern

BrainStorm Therapeutics is using mesenchymal stem cells that are taken from the patient's own bone marrow. These stem cells are then modified to boost their production of neurotrophic factors, which are known to help support and protect neurons, the cells destroyed by ALS. The CIRM funding will enable the company to test this therapy, called NurOwn®, in a Phase 3 trial involving about 200 patients.

ALPHA THALASSEMIA MAJOR

UC San Francisco

Principal Investigator: Tippi MacKenzie

Dr. MacKenzie is using hematopoietic stem cells (HSCs) to treat babies in the womb who have alpha thalassemia major, a blood disorder that is almost always fatal. The HSCs are taken from the mother's bone marrow and transplanted into the baby before birth. The mother's cells are able to survive and correct the defect in the baby's blood cells, increasing the chances of a healthy birth and improving the chances of having effective treatments after birth.

CANCER

Blood cancers

Angiocrine Biosciences

Principal Investigator: Paul Finnegan

The Angiocrine team is developing a cell therapy aimed to improve the availability and engraftment of blood stem cell transplants for cancer patients who have had their cancerous bone marrow removed by chemotherapy. The cell therapy is made of blood stem cells and endothelial cells, which line blood vessels and are thought to improve the engraftment of the stem cell transplant. The hope is that this treatment will provide a safer, more tolerable and effective stem cell transplantation that will rebuild the patient's immune system cancer-free.

Forty Seven, Inc.

Principal Investigator: Mark Chao

Forty Seven Inc. is conducting a Phase 1b clinical trial for acute myeloid leukemia patients. Leukemia stem cells have a protein on their surface that enable them to

Our Mission: To accelerate stem cell treatments to patients with unmet medical needs.

CANCER - Continued

evade being identified and destroyed by the patient's own immune system. This protein also helps these leukemia stem cells survive traditional therapies such as chemotherapy, enabling the cancer to lie dormant for a period before returning and causing the patient to relapse. The team is using a combination of a monoclonal antibody and the drug Azacitidine to make the leukemia stem cells vulnerable to being attacked and destroyed by the immune system.

Nohla Therapeutics Inc.

Principal Investigator: Colleen Delaney

Nohla Therapeutics is testing a hematopoietic stem cell and progenitor cell therapy called NLA101 to help patients suffering from neutropenia, a condition that leaves people susceptible to deadly infections, after receiving chemotherapy for acute myeloid leukemia (AML). The company is currently launching a Phase 2 trial to test this treatment in adult AML patients that have received high-dose chemotherapy.

Brain cancer (malignant glioma)

City of Hope

Principal Investigator: Christine Brown

A team at the City of Hope led by Dr. Christine Brown is pursuing a Phase 1 trial targeting an aggressive brain cancer called malignant glioma. City of Hope will re-engineer a patient's immune system central memory T cells (TCM cells) to express chimeric antigen receptors (CAR). These CAR-T cells will recognize a molecular marker on the surface of glioma cancer stem cells and kill the tumors. Dr. Brown's award to pursue CAR-T therapy for solid cancers comes at an exciting and opportune time with the recent U.S. Food and Drug Administration (FDA) approval of the first CAR-T therapy, called Kymriah, for patients with acute lymphoblastic leukemia, a deadly form of blood cancer.

Leukemia

UC San Diego (2 clinical trials)

Principal Investigator: Thomas Kipps

A team at UCSD is testing an antibody therapy called cirmtuzumab in a clinical trial study to treat a blood cancer, Chronic Lymphocytic Leukemia (CLL). The antibody recognizes and attaches to a protein on the surface of cancer stem cells. This attachment disables the protein which slows the growth of the leukemia and makes it more vulnerable to anti-cancer drugs. The team is also testing cirmtuzumab in combination with an approved cancer fighting drug called ibrutinib, to target cancer stem cells in a separate clinical trial. The aim is that combining cirmtuzumab with ibrutinib will improve cancer remission and long-term cancer control in patients.

Our Mission: To accelerate stem cell treatments to patients with unmet medical needs.

Skin cancer and other hard-to-treat cancers

UCLA

Principal Investigator: Antoni Ribas

There are few options for patients whose cancers have metastasized, or spread, due to resistance to current therapies. This team is using gene editing technology to modify a patient's own immune system cells and blood-forming stem cells with the aim of creating a continuous supply of immune cells that can recognize and attack hard-to-treat cancers.

Solid tumors

UCLA

Principal Investigator: Dennis Slamon

A team at UCLA is testing a drug for the treatment of cancer that works by blocking PLK4, a protein that is important in regulating cell growth, division and death. This protein is important for the survival of the cancer stem cell as well as the rest of the cells in a tumor. It is hypothesized that blocking this protein from working in the tumor may stop or even shrink tumor growth.

Solid tumors/Colorectal cancer

Forty Seven, Inc.

Principal Investigator: Mark Chao

Forty Seven, Inc. has developed an antibody therapy to block a protein called CD47 that is found on the surface of cancer cells. CD47 acts as a 'don't eat me' signal that tells immune cells not to eliminate the cancer cells. When this 'don't eat me' signal is blocked by the antibody, the cancer cells are 'eaten' and eliminated by the patient's immune cells. Forty Seven, Inc. will combine the anti-CD47 antibody with cetuximab – a drug used in the treatment of solid tumors – to treat patients with advanced colorectal cancer, hitting it with a 1-2 punch to kill the tumors and prevent any recurrence.

CHRONIC GRANULOMATOUS DISEASE

UCLA

Principal Investigator: Donald Kohn

Chronic granulomatous disease is a rare immune disorder that results in severe, recurrent infections that can impact quality and length of life. A team at UCLA uses the patient's own genetically modified blood stem cells to create a new blood supply and a healthy immune system, with the aim of curing patients with this disease.

DIABETES

ViaCyte, Inc. (2 clinical trials)

Principal Investigator: Howard Foyt

ViaCyte is developing cell therapies to replace lost beta cells for people with type 1 diabetes (T1D). The therapies are derived from human embryonic stem cells, which are partially matured into becoming pancreatic tissues (the type destroyed in T1D). The cells are inserted into a small pouch that is transplanted under the patient's

Our Mission: To accelerate stem cell treatments to patients with unmet medical needs.

DIABETES - Continued

skin. The transplanted cells will develop into fully matured beta cells that secrete the hormone insulin, which is needed to keep blood sugar levels at a healthy level. CIRM is funding ViaCyte's two Phase 1/2 trials testing different product candidates. The first product, VC-01, encapsulates the cells and protects them from the patient's immune system. The second product, VC-02, allows the patient's blood vessels to make direct contact with the implanted cells. VC-02 is being developed for patients with high-risk T1D.

Caladrius Biosciences

Principal Investigator: Douglas Losordo

Researchers at Caladrius Biosciences will take cells, called regulatory T cells (Tregs), from the patient's own immune system, expand the number of those cells in the lab and return them to the patient to reduce the autoimmune attack on the insulin-producing cells in people with type 1 diabetes.

HEART DISEASE

Duchenne Muscular Dystrophy-Associated Heart Disease

Capricor Therapeutics

Principal Investigator: Deborah Ascheim

Capricor is using donor cells derived from the heart to treat patients suffering from Duchenne Muscular Dystrophy (DMD), a genetic disorder that leads to progressive muscle degeneration, including heart muscle. One of the leading causes of death for children with DMD is heart failure and the aim of this treatment is to help improve heart muscle outcomes for these patients.

HIV/AIDS

Calimmune, Inc.

Principal Investigator: Geoff Symonds

Calimmune is genetically modifying patients' own blood-forming stem cells (also known as bone marrow stem cells) so they can produce immune cells—the ones normally destroyed by the virus—that cannot be infected by the virus. The goal of this treatment is enable the patients to clear their systems of the virus, effectively curing the disease.

City of Hope and Sangamo Therapeutics

Principal Investigator: John Zaia

A team at City of Hope and Sangamo Therapeutics is testing a similar method to functionally cure people with HIV. But while Calimmune is using a technique called RNA interference to block the virus, City of Hope/Sangamo are using a technology called zinc finger nuclease – a kind of molecular scissors – to snip out the target gene.

Our Mission: To accelerate stem cell treatments to patients with unmet medical needs.

HIV/AIDS - Continued
AIDS-related lymphoma

UC Davis

Principal Investigator: Mehrdad Abedi

A team at UC Davis is taking a patient's blood forming stem cells and inserting three anti-HIV genes into them and then returning them to the individual to help rebuild their immune system. The anti-HIV genes are then passed on to all new immune system cells and make them resistant to HIV. Because AIDS-related lymphoma is linked to the constant immune cell stimulation caused by HIV infection, getting rid of the virus should prevent return of the cancer.

KIDNEY DISEASE

Kidney failure

Stanford University

Principal Investigator: Samuel Strober

A team at Stanford University will work with kidney transplant patients to see if injecting blood stem cells and T cells (which play an important role in the immune system) from the kidney donor into the kidney recipient can enable the recipient to bypass the need for a life-long dependence on immunosuppressant drugs.

Dialysis

Humacyte, Inc. (2 clinical trials)

Principal Investigator: Jeffrey Lawson

Humacyte is using donor cells to create a bioengineered vein needed by people undergoing hemodialysis, the most common form of dialysis. In dialysis a person is connected to a machine that removes waste from their blood. The bioengineered vein is implanted in the arm and used to carry the patient's blood to and from their body during dialysis. Over time the patient's own stem cells start to populate this vein, in effect making it part of the patient's own body. In two separate clinical trials, the Humacyte product is being compared head-to-head with the current standard of care as well as a synthetic product that is used by some patients who are not candidates for the standard treatment.

MACULAR DEGENERATION

University of Southern California

Principal Investigator: Mark Humayun

Regenerative Patch Technologies and scientists at the University of Southern California and UC Santa Barbara, are growing specialized cells of the retina from embryonic stem cells, placing them on a single layer scaffold and implanting the combination device in the back of the eye to try to reverse blindness.

OSTEONECROSIS

UC Davis

Principal Investigator: Nancy Lane

A team at UC Davis is testing a drug that directs bone stem cells to the surface of the bone where they then develop new bone tissue and stimulate new blood vessel formation, two defects underlying osteonecrosis. Should this drug prove safe and show signs of effectiveness, it may be tested for the treatment of other bone diseases like osteoporosis.

PULMONARY HYPERTENSION

Cedars-Sinai Medical Center

Principal Investigator: Michael Lewis

A team at Cedars-Sinai Medical Center is using donor cells derived from the heart to reduce two hallmark symptoms of pulmonary hypertension: inflammation and high blood pressure in the blood vessels within the lungs. These conditions make the heart struggle to pump blood to the lungs and over time can ultimately lead to heart failure. The aim of this treatment is to delay the progression of the disease.

RETINITIS PIGMENTOSA

UC Irvine and jCyte, Inc. (2 clinical trials)

Principal Investigator: Henry Klassen

A team at UC Irvine, is using cells called retinal progenitor cells to repair the damage caused by this vision destroying disease. The cells are injected into the back of the eye and it's hoped they will help preserve the photoreceptors attacked by RP as well as generate new photo receptors to replace those destroyed by the disease. We funded the Phase 1 clinical trial and are now funding Phase 2.

SEVERE COMBINED IMMUNODEFICIENCY (SCID)

UCLA

Principal Investigator: Donald Kohn

A team at UCLA is using a patient's own blood stem cells to try and repair their damaged immune system. They will use what's called a lentiviral vector to deliver genetic material into the blood stem cells, correcting the genetic flaw that causes SCID. It's hoped this will create a new blood system and a healthy immune system.

Stanford University

Principal Investigator: Judith Shizuru

A team at Stanford proposes to replace SCID patients' dysfunctional immune cells with healthy ones using a safer form of bone marrow transplant (BMT). Current BMT procedures must use toxic chemotherapy to make space in the bone marrow for the healthy transplanted stem cells to engraft. The Stanford team will instead test a safe, non-toxic protein that targets and removes the defective blood forming stem cells. If successful, the procedure could open up similar BMT therapies to patients with other auto-immune diseases such as multiple sclerosis, lupus or diabetes that are generally not candidates for BMT currently.

Our Mission: To accelerate stem cell treatments to patients with unmet medical needs.

SEVERE COMBINED IMMUNODEFICIENCY (SCID) - Continued

St. Jude Children's Research Hospital
Principal Investigator: Brian Sorrentino

St. Jude Children's Research Hospital is teaming up with UC San Francisco to repair the damaged immune system of children born with SCID. They will genetically modify the patient's own blood stem cells, with the goal of creating a new blood system and restoring the health of the immune system.

SICKLE CELL ANEMIA

UCLA

Principal Investigator: Donald Kohn

A team at UCLA is genetically modifying a patient's own blood stem cells to produce a correct version of hemoglobin, the protein that is mutated in these patients, which causes abnormal sickle-like shaped red blood cells. These misshapen cells lead to dangerous blood clots, debilitating pain and even death. The genetically modified stem cells will be given back to the patient to create a new sickle cell-free blood supply.

SPINAL CORD INJURY

Asterias Biotherapeutics

Principal Investigator: Jane Lebkowski

Asterias Biotherapeutics uses cells derived from embryonic stem cells to heal the spinal cord at the site of injury. They mature the stem cells into cells called oligodendrocyte precursor cells that are injected at the site of injury where it is hoped they can repair the insulating layer, called myelin, that normally protects the nerves in the spinal cord.

STROKE

SanBio

Principal Investigator: Damien Bates

SanBio is carrying out a Phase 2 clinical trial using mesenchymal stem cells (MSCs) to help people suffering from chronic disability following a stroke. The MSCs are isolated from the bone marrow of healthy adult donors, and then modified to enhance their ability to promote recovery from injury by triggering the brain's natural regenerative ability. Patients with stroke can suffer from loss of mobility in certain parts of their body and the hope is that this therapy will rescue some of these problems.

CLOSED CLINICAL TRIALS

CANCER

Brain Cancer (Glioblastoma)

ImmunoCellular Therapeutics is targeting six proteins that are found on the surface of cancer stem cells in glioblastoma, a brain cancer. Immune cells from the patient's own immune system are exposed to fragments of these cancer cell proteins in the lab. When returned to the patient's body, these immune system cells can now help the patient's immune system identify, and then hopefully kill, the cancer stem cells responsible for the tumor's recurrence and growth. This Phase 3 trial was suspended in June 2017 due to lack of sufficient financial resources.

Metastatic Skin Cancer (Melanoma)

NeoStem, which later changed its name to Caladrius BioScience, ran a Phase 3 trial targeting cancer stem cells. These cells are believed to be able to survive chemotherapy and other cancer-targeting treatments, and can cause a relapse by enabling tumors to grow and spread. This approach used the patient's own tumor cells to create a personalized therapy, one designed to engage the patient's own immune system and destroy the cancer. The trial was halted by Caladrius in January 2016 for business reasons.

Solid Tumors/Acute myeloid leukemia

A team at Stanford University is using a molecule known as an antibody to target cancer stem cells. This antibody can recognize CD47, a protein the cancer stem cells carry on their cell surface. The cancer cells use that protein to evade the component of our immune system that routinely destroys tumors. By disabling this protein, the team hopes to empower the body's own immune system to attack and destroy the cancer stem cells. The clinical trial testing this therapy has concluded and has led to another CIRM-funded trial by Forty-Seven, Inc.

HEART FAILURE

In this trial, Capricor Therapeutics used donor cells derived from the heart to treat patients at risk for developing heart failure after a heart attack. In previous clinical studies, the cells appear to reduce scar tissue, promote blood vessel growth and improve heart function. This trial is ending after failing to achieve its primary goal of reduction of scar size in the heart.

HUNTINGTON'S DISEASE (observational)

A team at UC Davis plans to use bone marrow derived mesenchymal stem cells to deliver a growth factor, called BDNF, to patients' brains in order to reduce the death of nerve cells that occurs in Huntington's Disease (HD). In preparation for such a clinical trial study, the team completed a CIRM-funded observational trial in a group of HD patients to study the progression of disease.

Our Mission: To accelerate stem cell treatments to patients with unmet medical needs.

CLOSED CLINICAL TRIALS - Continued

SPINAL CORD INJURY

Geron carried out a Phase 1 clinical trial to assess the safety and preliminary activity of escalating doses of human embryonic stem cell (hESC) derived oligodendrocyte progenitor cells for treatment of spinal cord injury. Five patients were treated, all safely and without any serious side effects, before the trial was halted by Geron for financial reasons. The work in this trial later has since been revived by Asterias.

CIRM

**CALIFORNIA'S
STEM CELL
AGENCY**

Our Mission: To accelerate stem cell treatments to patients with unmet medical needs.