

COVER PAGE

A PUBLIC DOCUMENT

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
MARTIN DAVID WILLIAM

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

CALIFORNIA INSTITUTE FOR REGENERATIVE MEDICINE

Division, Board, Department, District, if applicable

Your Position

ICOC BOARD MEMBER

If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: Position:

2. Jurisdiction of Office (Check at least one box)

- State
Multi-County
City of
Judge or Court Commissioner (Statewide Jurisdiction)
County of
Other

3. Type of Statement (Check at least one box)

- Annual: The period covered is January 1, 2018, through December 31, 2018.
Leaving Office: Date Left
Assuming Office: Date assumed
Candidate: Date of Election

4. Schedule Summary (must complete)

Total number of pages including this cover page: 10

Schedules attached

- Schedule A-1 - Investments - schedule attached
Schedule A-2 - Investments - schedule attached
Schedule B - Real Property - schedule attached
Schedule C - Income, Loans, & Business Positions - schedule attached
Schedule D - Income - Gifts - schedule attached
Schedule E - Income - Gifts - Travel Payments - schedule attached

-or- None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
1999 HARRISON ST OAKLAND CA 94612
DAYTIME TELEPHONE NUMBER EMAIL ADDRESS
(510) 340-9111 DMARTIN94941@SBCGLOBAL.NET

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 10 FEBRUARY 2019
(month, day, year)

Signature [Signature]
(File the originally signed paper statement with your filing official.)

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE
3M CO	Industrial Conglomerates	\$10,001 - \$100,000	Stock	
3M CO	Industrial Conglomerates	\$2,000 - \$10,000	Stock	
ABBOTT LABS	Medical Specialties	\$2,000 - \$10,000	Stock	
ABBVIE INC COM	Pharmaceuticals: Major	\$10,001 - \$100,000	Stock	
ABBVIE INC COM	Pharmaceuticals: Major	\$2,000 - \$10,000	Stock	
ACCENTURE PLC IRELAND	Information Technology Services	\$10,001 - \$100,000	Stock	
ADOBE SYSTEMS INC (DELAWARE)	Packaged Software	\$2,000 - \$10,000	Stock	
AERCAP HOLDINGS NV SHS EUR	Finance/Rental/Leasing	\$2,000 - \$10,000	Stock	
AIA GROUP LTD SPON ADR	Life/Health Insurance	\$2,000 - \$10,000	Stock	
AIR LIQUIDE ADR FRANCE				
ADR	Chemicals: Specialty	\$2,000 - \$10,000	Stock	
AIR PROD & CHEMICAL INC	Chemicals: Specialty	\$10,001 - \$100,000	Stock	
AKAMAI TECHNOLOGIES INC	Internet Software/Services	\$2,000 - \$10,000	Stock	
ALEXION PHARMACEUTICALS INC	Biotechnology	\$2,000 - \$10,000	Stock	
ALIBABA GROUP HLDG LTD SPON ADR	Internet Retail	\$10,001 - \$100,000	Stock	
ALLERGAN PLC	Pharmaceuticals: Generic	\$2,000 - \$10,000	Stock	
ALLIANZ SE ADR	Multi-Line Insurance	\$2,000 - \$10,000	Stock	
ALPHABET INC CL A	Internet Software/Services	\$10,001 - \$100,000	Stock	
ALPHABET INC CL A	Internet Software/Services	\$2,000 - \$10,000	Stock	
ALPHABET INC CL C	Internet Software/Services	\$2,000 - \$10,000	Stock	
ALPHABET INC CL C	Internet Software/Services	\$2,000 - \$10,000	Stock	
ALTRIA GROUP INC	Tobacco	\$2,000 - \$10,000	Stock	
AMADEUS IT GROUP UNSPONSORED ADR	Information Technology Services	\$2,000 - \$10,000	Stock	
AMAZON.COM INC	Internet Retail	\$10,001 - \$100,000	Stock	
AMAZON.COM INC	Internet Retail	\$2,000 - \$10,000	Stock	
AMCOR LTD NEW AU ADR	Containers/Packaging	\$2,000 - \$10,000	Stock	
AMDOCS LTD GBP	Information Technology Services	<\$2000	Stock	
AMER EXPRESS CO	Financial Conglomerates	\$2,000 - \$10,000	Stock	
AMER EXPRESS CO	Financial Conglomerates	\$2,000 - \$10,000	Stock	
AMGEN INC	Biotechnology	\$10,001 - \$100,000	Stock	
ANHEUSER BUSCH INBEV SPON ADR	Beverages: Alcoholic	\$2,000 - \$10,000	Stock	
AON PLC	Insurance Brokers/Services	\$2,000 - \$10,000	Stock	
AON PLC	Insurance Brokers/Services	\$2,000 - \$10,000	Stock	
APPLE INC	Telecommunications Equipment	\$10,001 - \$100,000	Stock	
APPLE INC	Telecommunications Equipment	\$2,000 - \$10,000	Stock	
APPLIED MATERIALS INC	Electronic Production Equipment	\$2,000 - \$10,000	Stock	
ARCHER DANIELS MIDLAND	Agricultural Commodities/Milling	\$2,000 - \$10,000	Stock	
ARKEMA S.A. SPON ADR	Chemicals: Major Diversified	\$2,000 - \$10,000	Stock	
ASML HLDG NV SPON ADR	Electronic Production Equipment	\$10,001 - \$100,000	Stock	
AT&T INC	Major Telecommunications	\$2,000 - \$10,000	Stock	
AUTOMATIC DATA PROCESSING INC	Data Processing Services	\$2,000 - \$10,000	Stock	
BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR	Internet Software/Services	\$2,000 - \$10,000	Stock	
BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR	Internet Software/Services	\$2,000 - \$10,000	Stock	
BANCO BRADESCO S.A. NEW SPON ADR	Regional Banks	\$2,000 - \$10,000	Stock	
BANK OF AMER CORP	Major Banks	\$2,000 - \$10,000	Stock	
BARCLAYS PLC ADR	Major Banks	\$2,000 - \$10,000	Stock	
BB&T CORP	Major Banks	\$10,001 - \$100,000	Stock	
BIOGEN INC	Biotechnology	\$2,000 - \$10,000	Stock	
BIOGEN INC	Biotechnology	\$2,000 - \$10,000	Stock	
BLACKROCK INC	Investment Managers	\$2,000 - \$10,000	Stock	
BLACKROCK INC	Investment Managers	\$2,000 - \$10,000	Stock	
BORGWARNER INC	Auto Parts: OEM	\$2,000 - \$10,000	Stock	
BRAMBLES LTD SPON ADR	Miscellaneous Commercial Services	\$2,000 - \$10,000	Stock	
BRAMBLES LTD SPON ADR	Miscellaneous Commercial Services	\$2,000 - \$10,000	Stock	
BRENTNAG AG UNSPON ADR	Wholesale Distributors	\$2,000 - \$10,000	Stock	
BRIDGESTONE CORP LTD ADR	Automotive Aftermarket	\$2,000 - \$10,000	Stock	
JAPAN ADR				
BRITISH AMER TOBACCO PLC GB SPON ADR	Tobacco	\$2,000 - \$10,000	Stock	
BROADCOM LTD	Semiconductors	\$2,000 - \$10,000	Stock	
BROADCOM LTD	Semiconductors	\$2,000 - \$10,000	Stock	
BUNZL PLC NEW SPON ADR	Wholesale Distributors	\$2,000 - \$10,000	Stock	
BUNZL PLC NEW SPON ADR	Wholesale Distributors	\$2,000 - \$10,000	Stock	
CARLSBERG AS SPON ADR	Beverages: Alcoholic	\$2,000 - \$10,000	Stock	
CBS CORP NEW CL B	Broadcasting	\$2,000 - \$10,000	Stock	
CDN NATL RAILWAY CO CAD	Railroads	\$2,000 - \$10,000	Stock	
CELGENE CORP	Biotechnology	\$2,000 - \$10,000	Stock	
CELGENE CORP	Biotechnology	\$2,000 - \$10,000	Stock	
CENOVUS ENERGY INC CAD	Oil & Gas Production	\$2,000 - \$10,000	Stock	
CHECK POINT SOFTWARE	Internet Software/Services	\$2,000 - \$10,000	Stock	
TECH LTD ILS	Internet Software/Services	\$2,000 - \$10,000	Stock	
CHEVRON CORP	Integrated Oil	\$2,000 - \$10,000	Stock	
CHIPOTLE MEXICAN GRILL INC CL A	Restaurants	<\$2000	Stock	
CHUBB LTD CHF	Property/Casualty Insurance	\$10,001 - \$100,000	Stock	
CHUBB LTD CHF	Property/Casualty Insurance	\$2,000 - \$10,000	Stock	
CIELO S A SPON ADR	Miscellaneous Commercial Services	\$2,000 - \$10,000	Stock	
CIELO S A SPON ADR	Miscellaneous Commercial Services	\$2,000 - \$10,000	Stock	
CIGNA CORP	Managed Health Care	\$2,000 - \$10,000	Stock	
CISCO SYSTEMS INC	Computer Communications	\$10,001 - \$100,000	Stock	
CITIGROUP INC	Financial Conglomerates	\$10,001 - \$100,000	Stock	
CK HUTCHISON HLDGS LTD UNSPONSORED ADR	Industrial Conglomerates	\$2,000 - \$10,000	Stock	
COCA COLA CO COM	Beverages: Non-Alcoholic	<\$2000	Stock	
COGNIZANT TECH SOLUTIONS CRP	Information Technology Services	\$2,000 - \$10,000	Stock	
COMCAST CORP NEW CL A	Cable/Satellite TV	\$2,000 - \$10,000	Stock	
COMCAST CORP NEW CL A	Cable/Satellite TV	\$2,000 - \$10,000	Stock	
COMCAST CORP NEW CL A	Cable/Satellite TV	\$2,000 - \$10,000	Stock	
COMPAGNIE FINANCIERE RICHEMONT AG ADR	Other Consumer Specialties	\$2,000 - \$10,000	Stock	
COMPAGNIE FINANCIERE RICHEMONT AG ADR	Other Consumer Specialties	\$2,000 - \$10,000	Stock	
COMPASS GROUP PLC SPON ADR	Restaurants	\$2,000 - \$10,000	Stock	
COMPASS GROUP PLC SPON ADR	Restaurants	\$2,000 - \$10,000	Stock	
CONOCOPHILLIPS	Oil & Gas Production	\$2,000 - \$10,000	Stock	
CONTL AG-SPONS ADR ADR	Auto Parts: OEM	\$2,000 - \$10,000	Stock	

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE
CORE LABORATORIES N V EUR	Oilfield Services/Equipment	>2000		
COSTCO WHOLESALE CORP	Discount Stores	\$2,000 - \$10,000	Stock	
COTY INC CL A	Household/Personal Care	\$2,000 - \$10,000	Stock	
CROWN CASTLE INTL CORP REIT	Real Estate Investment Trusts	\$10,001 - \$100,000	Stock	
CSL LTD SPON ADR	Biotechnology	\$2,000 - \$10,000	Stock	
CUMMINS INC	Trucks/Construction/Farm Machinery			
CVS HEALTH CORP	MARKET CAP	\$2,000 - \$10,000	Stock	
CVS HEALTH CORP	Drugstore Chains	\$2,000 - \$10,000	Stock	
DAIKIN INDS LTD ADR	Industrial Machinery	\$2,000 - \$10,000	Stock	
DANAHER CORP	Medical Specialties	\$2,000 - \$10,000	Stock	
DANAHER CORP	Medical Specialties	\$2,000 - \$10,000	Stock	
DELPHI AUTOMOTIVE PLC	Auto Parts: OEM	\$2,000 - \$10,000	Stock	
DELPHI AUTOMOTIVE PLC	Auto Parts: OEM	\$2,000 - \$10,000	Stock	
DELTA AIR LINES INC DELA NEW	Airlines	\$2,000 - \$10,000	Stock	
DENTSPLY SIRONA INC	Medical Specialties	\$2,000 - \$10,000	Stock	
DEUTSCHE BOERSE ADR	Investment Banks/Brokers	\$2,000 - \$10,000	Stock	
DEUTSCHE BOERSE ADR	Investment Banks/Brokers	\$2,000 - \$10,000	Stock	
DEUTSCHE POST AG SPON ADR	Air Freight/Couriers	\$2,000 - \$10,000	Stock	
DOWDUPONT INC	Chemicals: Major Diversified	\$2,000 - \$10,000	Stock	
DUKE ENERGY CORP NEW	Electric Utilities	\$2,000 - \$10,000	Stock	
DXC TECHNOLOGY CO	Data Processing Services	\$2,000 - \$10,000	Stock	
E TRADE FINANCIAL CORP COM NEW	Investment Banks/Brokers	\$2,000 - \$10,000	Stock	
EATON CORP PLC	Electrical Products	\$2,000 - \$10,000	Stock	
EBAY INC	Other Consumer Services	\$2,000 - \$10,000	Stock	
ECOLAB INC	Chemicals: Specialty	\$2,000 - \$10,000	Stock	
EDISON INTL	Electric Utilities	\$2,000 - \$10,000	Stock	
ELECTRONIC ARTS	Recreational Products	\$2,000 - \$10,000	Stock	
ENBRIDGE INC CAD	Oil & Gas Pipelines	\$2,000 - \$10,000	Stock	
ENBRIDGE INC CAD	Oil & Gas Pipelines	\$2,000 - \$10,000	Stock	
EOG RESOURCES INC	Oil & Gas Production	\$2,000 - \$10,000	Stock	
EOG RESOURCES INC	Oil & Gas Production	\$2,000 - \$10,000	Stock	
EQUIFAX INC	Miscellaneous Commercial Services	\$2,000 - \$10,000	Stock	
ESSLOR INTL SPON ADR	Medical Specialties	\$2,000 - \$10,000	Stock	
EXXON MOBIL CORP	Integrated Oil	\$2,000 - \$10,000	Stock	
EXXON MOBIL CORP	Integrated Oil	\$2,000 - \$10,000	Stock	
FACEBOOK INC CL A	Internet Software/Services	\$2,000 - \$10,000	Stock	
FACEBOOK INC CL A	Internet Software/Services	\$2,000 - \$10,000	Stock	
FANUC CORP ADR	Industrial Machinery	\$2,000 - \$10,000	Stock	
FIDELITY NATL INFORMATION SVCS	Data Processing Services	\$2,000 - \$10,000	Stock	
FISERV INC	Data Processing Services	\$2,000 - \$10,000	Stock	
FOMENTO ECONOMICO MEXICANO S.A.B. DE CV SPON ADR	Beverages: Non-Alcoholic	\$2,000 - \$10,000	Stock	
GEA GROUP AG SPON ADR	Industrial Machinery	\$2,000 - \$10,000	Stock	
GENL MILLS INC	Food: Major Diversified	\$2,000 - \$10,000	Stock	
GILEAD SCIENCES INC	Biotechnology	\$2,000 - \$10,000	Stock	
GILEAD SCIENCES INC	Biotechnology	\$10,001 - \$100,000	Stock	
GIVALDAN SA ADR	Household/Personal Care	\$2,000 - \$10,000	Stock	
GOLDMAN SACHS GROUP INC	Investment Banks/Brokers	\$10,001 - \$100,000	Stock	
GRAINGER W W INC	Wholesale Distributors	\$2,000 - \$10,000	Stock	
GROUPE CGI INC SV CL A CAD	Information Technology Services	\$2,000 - \$10,000	Stock	
GRUPO TELEvisa SA DE CV GLOBAL DEP RCT REP ORD MEXICO ADR	Broadcasting	\$2,000 - \$10,000	Stock	
HALLIBURTON CO (HOLDING COMPANY)	Oilfield Services/Equipment	\$2,000 - \$10,000	Stock	
HASBRO INC	Recreational Products	\$2,000 - \$10,000	Stock	
HEINEKEN NV SPON ADR	Beverages: Alcoholic	\$2,000 - \$10,000	Stock	
HERSHEY CO	Food: Specialty/Candy	\$2,000 - \$10,000	Stock	
HIGHWOODS PROPERTIES INC	Real Estate Investment Trusts	\$2,000 - \$10,000	Stock	
HILL ROM HOLDINGS INC	Medical Specialties	\$2,000 - \$10,000	Stock	
HOME DEPOT INC	Home Improvement Chains	\$10,001 - \$100,000	Stock	
HOME DEPOT INC	Home Improvement Chains	\$2,000 - \$10,000	Stock	
HOME DEPOT INC	Home Improvement Chains	\$2,000 - \$10,000	Stock	
HONEYWELL INTL INC	Industrial Conglomerates	\$10,001 - \$100,000	Stock	
HONEYWELL INTL INC	Industrial Conglomerates	\$2,000 - \$10,000	Stock	
HONEYWELL INTL INC	Industrial Conglomerates	\$2,000 - \$10,000	Stock	
HONEYWELL INTL INC	Industrial Conglomerates	\$2,000 - \$10,000	Stock	
HOYA CORP SPON ADR	Medical Specialties	\$2,000 - \$10,000	Stock	
HUNTINGTON BANCSHARES	Regional Banks	\$2,000 - \$10,000	Stock	
ILLINOIS TOOL WORKS INC	Industrial Machinery	\$2,000 - \$10,000	Stock	
ILLINOIS TOOL WORKS INC	Industrial Machinery	\$2,000 - \$10,000	Stock	
INFORMA PLC SPON ADR	Publishing: Books/Magazines	\$2,000 - \$10,000	Stock	
ING GROEP N V NL SPON ADR	Financial Conglomerates	\$2,000 - \$10,000	Stock	
INTERCONTINENTALEXCHANGE GROUP	Investment Banks/Brokers	\$2,000 - \$10,000	Stock	
INTESA SANPAOLO SPON ADR	Regional Banks	\$2,000 - \$10,000	Stock	
JAPAN TOBACCO INC UNSPONSORED ADR	Tobacco	\$2,000 - \$10,000	Stock	
JOHNSON & JOHNSON COM	Pharmaceuticals: Major	\$10,001 - \$100,000	Stock	
JOHNSON & JOHNSON COM	Pharmaceuticals: Major	\$10,001 - \$100,000	Stock	
JOHNSON & JOHNSON COM	Pharmaceuticals: Major	\$2,000 - \$10,000	Stock	
JOHNSON CTLS INTL PLC	Miscellaneous Commercial Services	\$2,000 - \$10,000	Stock	
JPMORGAN CHASE & CO	Financial Conglomerates	\$10,001 - \$100,000	Stock	
JPMORGAN CHASE & CO	Financial Conglomerates	\$10,001 - \$100,000	Stock	
JPMORGAN CHASE & CO	Financial Conglomerates	\$2,000 - \$10,000	Stock	
JULIUS BAER GROUP LTD ADR	Regional Banks	\$2,000 - \$10,000	Stock	
JULIUS BAER GROUP LTD ADR	Regional Banks	\$2,000 - \$10,000	Stock	
KAO CORP REPSTG 10 SHS COM SPON ADR	Household/Personal Care	\$2,000 - \$10,000	Stock	
KAO CORP REPSTG 10 SHS COM SPON ADR	Household/Personal Care	\$2,000 - \$10,000	Stock	
KASIKORNBANK PC UNSPONSORED ADR	Regional Banks	\$2,000 - \$10,000	Stock	
KOMATSU LTD SPON ADR NEW	Trucks/Construction/Farm Machinery	\$2,000 - \$10,000	Stock	
KROTON EDUCACIONAL SPON ADR	Miscellaneous Commercial Services	\$2,000 - \$10,000	Stock	
LAS VEGAS SANDS CORP	Casinos/Gaming	\$2,000 - \$10,000	Stock	
LEAR CORP COM NEW	Auto Parts: OEM	\$2,000 - \$10,000	Stock	

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE
LILLY ELI & CO	Pharmaceuticals: Major	\$2,000 - \$10,000	Stock	
LLOYDS BANKING GROUP PLC SPON ADR	Major Banks	\$2,000 - \$10,000	Stock	
LLOYDS BANKING GROUP PLC SPON ADR	Major Banks	\$2,000 - \$10,000	Stock	
LOCKHEED MARTIN CORP	Aerospace & Defense	\$2,000 - \$10,000	Stock	
LOREAL CO ADR FRANCE ADR LYONDELLBASELL	Household/Personal Care	\$2,000 - \$10,000	Stock	
INDUSTRIES N V SHS - A - CL A EUR	Chemicals: Specialty	\$2,000 - \$10,000	Stock	
MARATHON PETROLEUM CO	Oil Refining/Marketing	\$2,000 - \$10,000	Stock	
MARSH & MCLENNAN COS INC	Insurance Brokers/Services	\$10,001 - \$100,000	Stock	
MAXIM INTEGRATED PRODS INC	Semiconductors	\$2,000 - \$10,000	Stock	
MC CORMICK & CO NV	Food: Specialty/Candy	\$2,000 - \$10,000	Stock	
MC CORMICK & CO NV	Food: Specialty/Candy	<\$2000	Stock	
MCDONALDS CORP	Restaurants	\$2,000 - \$10,000	Stock	
MCKESSON CORP	Medical Distributors	\$2,000 - \$10,000	Stock	
MEDTRONIC PLC	Medical Specialties	\$2,000 - \$10,000	Stock	
MEDTRONIC PLC	Medical Specialties	\$10,001 - \$100,000	Stock	
MEDTRONIC PLC	Medical Specialties	\$2,000 - \$10,000	Stock	
MERCK & CO INC NEW COM	Pharmaceuticals: Major	\$2,000 - \$10,000	Stock	
METLIFE INC	Life/Health Insurance	\$2,000 - \$10,000	Stock	
MICROSOFT CORP	Packaged Software	\$10,001 - \$100,000	Stock	
MICROSOFT CORP	Packaged Software	\$2,000 - \$10,000	Stock	
MICROSOFT CORP	Packaged Software	\$2,000 - \$10,000	Stock	
MICROSOFT CORP	Packaged Software	\$2,000 - \$10,000	Stock	
MONSANTO CO NEW	Chemicals: Agricultural	\$2,000 - \$10,000	Stock	
NASDAQ INC	Investment Banks/Brokers	\$2,000 - \$10,000	Stock	
NASDAQ INC	Investment Banks/Brokers	<\$2000	Stock	
NATL AUSTRALIA BANK LTD SPON ADR	Major Banks	\$2,000 - \$10,000	Stock	
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	Food: Major Diversified	\$2,000 - \$10,000	Stock	
NEXTERA ENERGY INC COM	Electric Utilities	\$10,001 - \$100,000	Stock	
NIELSEN HOLDINGS PLC EUR	Advertising/Marketing Services	\$2,000 - \$10,000	Stock	
NORDEA BANK SWED ADR	Major Banks	\$2,000 - \$10,000	Stock	
NORTHROP GRUMMAN CORP	Aerospace & Defense	\$2,000 - \$10,000	Stock	
NORTHROP GRUMMAN CORP	Aerospace & Defense	\$2,000 - \$10,000	Stock	
NOVARTIS AG SPON ADR	Pharmaceuticals: Major	\$2,000 - \$10,000	Stock	
NOVARTIS AG SPON ADR	Pharmaceuticals: Major	\$2,000 - \$10,000	Stock	
OCCIDENTAL PETROLEUM CRP	Oil & Gas Production	\$2,000 - \$10,000	Stock	
OMRON CORP SPON ADR	Electronic Equipment/Instruments	\$2,000 - \$10,000	Stock	
ON SEMICONDUCTOR CORP	Semiconductors	\$2,000 - \$10,000	Stock	
Open Text Corp	Packaged Software	\$2,000 - \$10,000	Stock	
ORACLE CORP	Packaged Software	\$10,001 - \$100,000	Stock	
PADDY PWR PLC UN SPONSORED ADR	Betting/Gaming	\$2,000 - \$10,000	Stock	
PALO ALTO NETWORKS INC	Computer Communications	\$2,000 - \$10,000	Stock	
PARKER HANNIFIN CORP	Industrial Machinery	\$2,000 - \$10,000	Stock	
PAYCHEX INC	Data Processing Services	\$10,001 - \$100,000	Stock	
PAYPAL HOLDINGS INC	Data Processing Services	\$2,000 - \$10,000	Stock	
PEPSICO INC	Beverages: Non-Alcoholic	\$10,001 - \$100,000	Stock	
PEPSICO INC	Beverages: Non-Alcoholic	\$2,000 - \$10,000	Stock	
PEPSICO INC	Beverages: Non-Alcoholic	\$2,000 - \$10,000	Stock	
PERNOD RICARD SA ADR	Beverages: Alcoholic	\$2,000 - \$10,000	Stock	
PERNOD RICARD SA ADR	Beverages: Alcoholic	\$2,000 - \$10,000	Stock	
PFIZER INC	Pharmaceuticals: Major	\$2,000 - \$10,000	Stock	
PFIZER INC	Pharmaceuticals: Major	\$2,000 - \$10,000	Stock	
PFIZER INC	Pharmaceuticals: Major	\$2,000 - \$10,000	Stock	
PHILIP MORRIS INTL INC	Tobacco	\$2,000 - \$10,000	Stock	
PHILIP MORRIS INTL INC	Tobacco	\$10,001 - \$100,000	Stock	
PIONEER NAT RES CO	Oil & Gas Production	\$2,000 - \$10,000	Stock	
PING FINANCIAL SERVICES GROUP	Major Banks	\$10,001 - \$100,000	Stock	
POST HLDGS INC	Food: Specialty/Candy	\$2,000 - \$10,000	Stock	
PPG INDUSTRIES INC	Industrial Specialties	\$10,001 - \$100,000	Stock	
PRADA S P A ADR	Industrial Specialties	<1000	Stock	
PROCTER & GAMBLE CO	Household/Personal Care	\$10,001 - \$100,000	Stock	
PROLOGIS INC COM	Real Estate Investment Trusts	\$2,000 - \$10,000	Stock	
PRUDENTIAL PLC ADR	Multi-Line Insurance	\$2,000 - \$10,000	Stock	
UNITED KINGDOM	Multi-Line Insurance	\$2,000 - \$10,000	Stock	
PT BK MANDIRI PERSERO	Regional Banks	\$2,000 - \$10,000	Stock	
TBK ADR	Regional Banks	\$2,000 - \$10,000	Stock	
PUBLIC SERVICE ENTERPRSE GROUP INC	Electric Utilities	\$2,000 - \$10,000	Stock	
PUBLIC STORAGE REIT	Real Estate Investment Trusts	\$2,000 - \$10,000	Stock	
PVH CORP COM	Apparel/Footwear	\$2,000 - \$10,000	Stock	
QUALCOMM INC	Telecommunications Equipment	\$2,000 - \$10,000	Stock	
QUANTA SERVICES INC	Engineering & Construction	\$2,000 - \$10,000	Stock	
RAYMOND JAMES FINANCIAL CORP	Investment Banks/Brokers	\$2,000 - \$10,000	Stock	
REALTY INCOME CORP MD	Real Estate Investment Trusts	\$2,000 - \$10,000	Stock	
SBI	Real Estate Investment Trusts	\$2,000 - \$10,000	Stock	
RED HAT INC	Packaged Software	\$2,000 - \$10,000	Stock	
REGENERON PHARMACEUTICALS INC	Biotechnology	\$2,000 - \$10,000	Stock	
RELX PLC SPON ADR	Miscellaneous Commercial Services	\$2,000 - \$10,000	Stock	
RELX PLC SPON ADR	Miscellaneous Commercial Services	\$2,000 - \$10,000	Stock	
ROCHE HLDG LTD SPONS ADR SWITZ ADR	Pharmaceuticals: Major	\$2,000 - \$10,000	Stock	
ROCHE HLDG LTD SPONS ADR SWITZ ADR	Pharmaceuticals: Major	\$2,000 - \$10,000	Stock	
ROCKWELL COLLINS INC	Aerospace & Defense	\$2,000 - \$10,000	Stock	
ROYAL CARIBBEAN CRUISES LTD LIBERIA ORD	Hotels/Resorts/Cruiselines	\$2,000 - \$10,000	Stock	
ROYAL DUTCH SHELL PLC	Hotels/Resorts/Cruiselines	\$2,000 - \$10,000	Stock	
ADS REPSTG 2 CL B ORD	Hotels/Resorts/Cruiselines	\$2,000 - \$10,000	Stock	
SHS SPON ADR	Hotels/Resorts/Cruiselines	\$2,000 - \$10,000	Stock	
SAMSONITE INTL SA UNSPONSORED ADR	Other Consumer Specialties	\$2,000 - \$10,000	Stock	
SANTEN PHARMACEUTICAL CO LTD UNSPONSORED ADR	Pharmaceuticals: Other	\$2,000 - \$10,000	Stock	
SAP SE SPON ADR	Packaged Software	\$2,000 - \$10,000	Stock	
SAP SE SPON ADR	Packaged Software	\$2,000 - \$10,000	Stock	
SCHLUMBERGER LTD	Oilfield Services/Equipment	\$2,000 - \$10,000	Stock	
NETHERLANDS ANTILLES	Oilfield Services/Equipment	\$2,000 - \$10,000	Stock	
SCHLUMBERGER LTD NETHERLANDS ANTILLES	Oilfield Services/Equipment	\$10,001 - \$100,000	Stock	
SCHNEIDER ELEC SE UNSPONSORED ADR	Electrical Products	\$2,000 - \$10,000	Stock	
SCHNEIDER ELEC SE	Electrical Products	\$2,000 - \$10,000	Stock	
UNSPONSORED ADR	Electrical Products	\$2,000 - \$10,000	Stock	
SCHWAB CHARLES CORP NEW	Investment Banks/Brokers	\$2,000 - \$10,000	Stock	

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE
SEMPRA ENERGY	Gas Distributors	\$2,000 - \$10,000	Stock	
SENSATA TECHNOLOGIES	Electronic Equipment/Instruments	\$2,000 - \$10,000	Stock	
HLDG NV EUR	Miscellaneous Commercial Services	\$2,000 - \$10,000	Stock	
SGS SA ADR UNSPONSORED				
SILICON MOTION				
TECHNOLOGY CORP ADS ADR		<2000		
SMC CORP SPON ADR	Industrial Machinery	\$2,000 - \$10,000	Stock	
SMITH & NEPHEW PLC NEW	Medical Specialties	\$2,000 - \$10,000	Stock	
SPON ADR				
SPDEXO AMERN DEPO SHS	Restaurants	\$2,000 - \$10,000	Stock	
SPON ADR				
SONOVA HLDG AG ADR	Medical Specialties	\$2,000 - \$10,000	Stock	
SPDR GOLD SHARES ETF	Gold	\$100,001 - \$1,000,000	Stock	
SPLUNK INC	Information Technology Services	<\$2000	Stock	
STANLEY BLACK & DECKER	Tools & Hardware	\$2,000 - \$10,000	Stock	
INC COM				
STATE STREET CORP	Investment Managers	\$2,000 - \$10,000	Stock	
STATE STREET CORP	Investment Managers	\$2,000 - \$10,000	Stock	
SUNCOR ENERGY INC NEW	Integrated Oil	\$2,000 - \$10,000	Stock	
CAD				
SUNCOR ENERGY INC NEW	Integrated Oil	\$2,000 - \$10,000	Stock	
CAD				
SUNTRUST BANKS INC	Regional Banks	\$2,000 - \$10,000	Stock	
TAIWAN SEMICONDUCTOR MFG	Semiconductors	\$2,000 - \$10,000	Stock	
CO LTD ADR				
TAIWAN SEMICONDUCTOR MFG	Semiconductors	\$2,000 - \$10,000	Stock	
CO LTD ADR				
TE CONNECTIVITY LTD CHF	Electronic Components	\$2,000 - \$10,000	Stock	
TECHNIPFMC PLC	Oilfield Services/Equipment	\$2,000 - \$10,000	Stock	
TECHTRONIC INDS SPON ADR	Tools & Hardware	\$2,000 - \$10,000	Stock	
HONG KONG				
TEXAS INSTRUMENTS	Semiconductors	\$10,001 - \$100,000	Stock	
TEXAS INSTRUMENTS	Semiconductors	\$2,000 - \$10,000	Stock	
TEXAS INSTRUMENTS	Semiconductors	\$2,000 - \$10,000	Stock	
THERMO FISHER SCIENTIFIC	Medical Specialties	\$2,000 - \$10,000	Stock	
INC				
THERMO FISHER SCIENTIFIC	Medical Specialties	\$2,000 - \$10,000	Stock	
INC				
THERMO FISHER SCIENTIFIC INC	Medical Specialties	\$2,000 - \$10,000	Stock	
TIFFANY & CO NEW	Specialty Stores	\$2,000 - \$10,000	Stock	
TOKYO ELECTRON LTD ADR	Electronic Production Equipment	\$2,000 - \$10,000	Stock	
TOTAL S.A. FRANCE SPON	Integrated Oil	\$2,000 - \$10,000	Stock	
ADR				
TOWER SEMICONDUCTOR LTD	Semiconductors	\$2,000 - \$10,000	Stock	
ILS				
TOYOTA MOTOR CORP NEW	Motor Vehicles	\$2,000 - \$10,000	Stock	
JAPAN SPON ADR				
TRAVELERS COS INC/THE	Property/Casualty Insurance	\$2,000 - \$10,000	Stock	
TWENTY-FIRST CENTY FOX	Movies/Entertainment	<\$2000	Stock	
INC CL A				
TYSON FOODS INC CL A	Food: Meat/Fish/Dairy	\$2,000 - \$10,000	Stock	
UBS GROUP AG CHF	Major Banks	\$2,000 - \$10,000	Stock	
UBS GROUP AG CHF	Major Banks	\$2,000 - \$10,000	Stock	
UNILEVER NV N Y SHS NEW	Household/Personal Care	\$2,000 - \$10,000	Stock	
NETHERLANDS SPON ADR				
UNILEVER NV N Y SHS NEW	Household/Personal Care	\$2,000 - \$10,000	Stock	
NETHERLANDS SPON ADR				
UNION PACIFIC CORP	Railroads	\$10,001 - \$100,000	Stock	
UNITED PARCEL SERVICE	Air Freight/Couriers	\$2,000 - \$10,000	Stock	
INC CL B				
UNITEDHEALTH GROUP INC	Managed Health Care	\$10,001 - \$100,000	Stock	
UNITEDHEALTH GROUP INC	Managed Health Care	\$2,000 - \$10,000	Stock	
UNTD OVERSEAS BK LTD	Regional Banks	\$2,000 - \$10,000	Stock	
SPONS ADR SINGAPORE ADR				
UNTD TECHNOLOGIES CORP	Industrial Conglomerates	\$2,000 - \$10,000	Stock	
US BANCORP DEL (NEW)	Major Banks	\$10,001 - \$100,000	Stock	
VALEO SPON ADR	Auto Parts: OEM	\$2,000 - \$10,000	Stock	
VALERO ENERGY CORP NEW	Oil Refining/Marketing	\$2,000 - \$10,000	Stock	
VENTAS INC	Real Estate Investment Trusts	\$2,000 - \$10,000	Stock	
VISA INC CL A	Finance/Rental/Leasing	\$10,001 - \$100,000	Stock	
VISA INC CL A	Finance/Rental/Leasing	\$2,000 - \$10,000	Stock	
VMWARE INC CL A	Information Technology Services	\$2,000 - \$10,000	Stock	
WALT DISNEY CO (HOLDING	Media Conglomerates	\$2,000 - \$10,000	Stock	
CO) DISNEY COM				
WASTE MGMT INC NEW	Environmental Services	\$2,000 - \$10,000	Stock	
WEC ENERGY GROUP INC COM	Electric Utilities	\$10,001 - \$100,000	Stock	
WELLS FARGO & CO NEW	Major Banks	\$10,001 - \$100,000	Stock	
WESTROCK CO	Containers/Packaging	\$2,000 - \$10,000	Stock	
WH GROUP LTD SPON ADR	Food: Specialty/Candy	\$2,000 - \$10,000	Stock	
WILLIAMS COMPANIES INC	Oil & Gas Pipelines	\$2,000 - \$10,000	Stock	
WILLIS TOWERS WATSON PUB	Insurance Brokers/Services	\$2,000 - \$10,000	Stock	
LTD CO				
WOLTERS KLUWER NV	Publishing: Books/Magazines	\$2,000 - \$10,000	Stock	
SPONSORED ADR				
NETHERLANDS ADR				
XCEL ENERGY INC	Electric Utilities	\$2,000 - \$10,000	Stock	
XCEL ENERGY INC	Electric Utilities	\$2,000 - \$10,000	Stock	
XL GROUP LTD	Multi-Line Insurance	\$2,000 - \$10,000	Stock	
YAHOO JAPAN CORP ADR	Internet Software/Services	\$2,000 - \$10,000	Stock	
YUM CHINA HLDGS INC	Restaurants	\$2,000 - \$10,000	Stock	
ZOETIS INC	Pharmaceuticals: Generic	\$2,000 - \$10,000	Stock	

SCHEDULE A-2
Investments, Income, and Assets
of Business Entities/Trusts
(Ownership Interest is 10% or Greater)

Name _____

▶ 1. BUSINESS ENTITY OR TRUST

XYPHOS BIOSCIENCES INC.
Name _____
100 KIMBALL WAY, SSF, CA 94080
Address (Business Address Acceptable) _____
Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS
BIOTECHNOLOGY

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$0 - \$1,999 _____/_____/_____
 \$2,000 - \$10,000 _____/_____/_____
 \$10,001 - \$100,000 _____/_____/_____
 \$100,001 - \$1,000,000 _____/_____/_____
 Over \$1,000,000 _____/_____/_____

NATURE OF INVESTMENT
 Partnership Sole Proprietorship equity & stock options
Other _____

YOUR BUSINESS POSITION chairman

▶ 1. BUSINESS ENTITY OR TRUST

PYLUM BIOSCIENCES INC
Name _____
100 KIMBALL WAY, SSF, CA 94080
Address (Business Address Acceptable) _____
Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS
BIOTECHNOLOGY

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$0 - \$1,999 _____/_____/_____
 \$2,000 - \$10,000 _____/_____/_____
 \$10,001 - \$100,000 _____/_____/_____
 \$100,001 - \$1,000,000 _____/_____/_____
 Over \$1,000,000 _____/_____/_____

NATURE OF INVESTMENT
 Partnership Sole Proprietorship equity & stock options
Other _____

YOUR BUSINESS POSITION ceo

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

\$0 - \$499 \$10,001 - \$100,000
 \$500 - \$1,000 OVER \$100,000
 \$1,001 - \$10,000

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

\$0 - \$499 \$10,001 - \$100,000
 \$500 - \$1,000 OVER \$100,000
 \$1,001 - \$10,000

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property _____

Description of Business Activity or City or Other Precise Location of Real Property _____

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000 _____/_____/_____
 \$10,001 - \$100,000 _____/_____/_____
 \$100,001 - \$1,000,000 _____/_____/_____
 Over \$1,000,000 _____/_____/_____

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold _____ Yrs. remaining Other _____

Check box if additional schedules reporting investments or real property are attached

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property _____

Description of Business Activity or City or Other Precise Location of Real Property _____

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000 _____/_____/_____
 \$10,001 - \$100,000 _____/_____/_____
 \$100,001 - \$1,000,000 _____/_____/_____
 Over \$1,000,000 _____/_____/_____

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold _____ Yrs. remaining Other _____

Check box if additional schedules reporting investments or real property are attached

Comments: _____

SCHEDULE B
Interests in Real Property
 (Including Rental Income)

Name
David W. Martin

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS

CITY _____

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

_____/_____/18 ____/_____/18
 ACQUIRED DISPOSED

NATURE OF INTEREST
 Ownership/Deed of Trust Easement
 Leasehold _____
 Yrs. remaining Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED
 \$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.
 None

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS

CITY _____

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
 \$2,000 - \$10,000
 \$10,001 - \$100,000
 \$100,001 - \$1,000,000
 Over \$1,000,000

_____/_____/18 ____/_____/18
 ACQUIRED DISPOSED

NATURE OF INTEREST
 Ownership/Deed of Trust Easement
 Leasehold _____
 Yrs. remaining Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED
 \$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.
 None

* You are not required to report loans from a commercial lending institution made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

INTEREST RATE _____ TERM (Months/Years) _____
 _____% None

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000
 Guarantor, if applicable

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

INTEREST RATE _____ TERM (Months/Years) _____
 _____% None

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000
 Guarantor, if applicable

Comments: _____

SCHEDULE C
Income, Loans, & Business
Positions
(Other than Gifts and Travel Payments)

Name

David W. Martin

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME

XYPHOS BIOSCIENCES INC

ADDRESS (Business Address Acceptable)

100 KIMBALL WAY, SSF, CA 94080

BUSINESS ACTIVITY, IF ANY, OF SOURCE

BIOTECHNOLOGY

YOUR BUSINESS POSITION

CHAIRMAN

GROSS INCOME RECEIVED No Income - Business Position Only

\$500 - \$1,000 \$1,001 - \$10,000

\$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

Salary Spouse's or registered domestic partner's income
(For self-employed use Schedule A-2.)

Partnership (Less than 10% ownership. For 10% or greater use
Schedule A-2.)

Sale of _____
(Real property, car, boat, etc.)

Loan repayment

Commission or Rental Income, list each source of \$10,000 or more

(Describe)

Other _____
(Describe)

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED No Income - Business Position Only

\$500 - \$1,000 \$1,001 - \$10,000

\$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

Salary Spouse's or registered domestic partner's income
(For self-employed use Schedule A-2.)

Partnership (Less than 10% ownership. For 10% or greater use
Schedule A-2.)

Sale of _____
(Real property, car, boat, etc.)

Loan repayment

Commission or Rental Income, list each source of \$10,000 or more

(Describe)

Other _____
(Describe)

▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from a commercial lending institution, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

HIGHEST BALANCE DURING REPORTING PERIOD

\$500 - \$1,000

\$1,001 - \$10,000

\$10,001 - \$100,000

OVER \$100,000

INTEREST RATE

_____ % None

TERM (Months/Years)

SECURITY FOR LOAN

None Personal residence

Real Property _____
Street address

City

Guarantor _____

Other _____
(Describe)

Comments: _____

SCHEDULE D
Income – Gifts

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____

Comments: _____

SCHEDULE E
Income – Gifts
Travel Payments, Advances,
and Reimbursements

- Mark either the gift or income box.
- Mark the “501(c)(3)” box for a travel payment received from a nonprofit 501(c)(3) organization or the “Speech” box if you made a speech or participated in a panel. Per Government Code Section 89506, these payments may not be subject to the gift limit. However, they may result in a disqualifying conflict of interest.
- For gifts of travel, provide the travel destination.

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

CITY AND STATE

501 (c)(3) or DESCRIBE BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE(S): ____/____/____ - ____/____/____ AMT: \$_____
(If gift)

▶ MUST CHECK ONE: Gift **-or-** Income

Made a Speech/Participated in a Panel

Other - Provide Description _____

▶ If Gift, Provide Travel Destination _____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

CITY AND STATE

501 (c)(3) or DESCRIBE BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE(S): ____/____/____ - ____/____/____ AMT: \$_____
(If gift)

▶ MUST CHECK ONE: Gift **-or-** Income

Made a Speech/Participated in a Panel

Other - Provide Description _____

▶ If Gift, Provide Travel Destination _____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

CITY AND STATE

501 (c)(3) or DESCRIBE BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE(S): ____/____/____ - ____/____/____ AMT: \$_____
(If gift)

▶ MUST CHECK ONE: Gift **-or-** Income

Made a Speech/Participated in a Panel

Other - Provide Description _____

▶ If Gift, Provide Travel Destination _____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

CITY AND STATE

501 (c)(3) or DESCRIBE BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE(S): ____/____/____ - ____/____/____ AMT: \$_____
(If gift)

▶ MUST CHECK ONE: Gift **-or-** Income

Made a Speech/Participated in a Panel

Other - Provide Description _____

▶ If Gift, Provide Travel Destination _____

Comments: _____