



Please type or print in ink.

NAME OF FILER (LAST) THOMAS (FIRST) JONATHAN (MIDDLE) YORK

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

CALIFORNIA INSTITUTE FOR REGENERATIVE MEDICINE

Division, Board, Department, District, if applicable

INDEPENDENT CITIZENS OVERSIGHT COMMITTEE

Your Position

ICOC CHAIRMAN

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

- State Judge or Court Commissioner (Statewide Jurisdiction)
- Multi-County _____ County of _____
- City of _____ Other _____

3. Type of Statement (Check at least one box)

- Annual:** The period covered is January 1, 2015, through December 31, 2015.
- or-
- The period covered is ____/____/____, through December 31, 2015.
- Assuming Office:** Date assumed ____/____/____
- Candidate:** Election year _____ and office sought, if different than Part 1: _____
- Leaving Office:** Date Left ____/____/____ (Check one)
- The period covered is January 1, 2015, through the date of leaving office.
- or-
- The period covered is ____/____/____, through the date of leaving office.

4. Schedule Summary (must complete) ► Total number of pages including this cover page: 10

Schedules attached

- Schedule A-1 - Investments** – schedule attached
- Schedule A-2 - Investments** – schedule attached
- Schedule B - Real Property** – schedule attached
- Schedule C - Income, Loans, & Business Positions** – schedule attached
- Schedule D - Income – Gifts** – schedule attached
- Schedule E - Income – Gifts – Travel Payments** – schedule attached

-or-

None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE

(Business or Agency Address Recommended - Public Document)

1999 HARRISON STREET, SUITE 1650 OAKLAND CA 94612

DAYTIME TELEPHONE NUMBER

(510) 340-9111

E-MAIL ADDRESS

JTHOMAS@CIRM.CA.GOV

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 22 March 2016
(month, day, year)

Signature Jonathan Thomas
(File the originally signed statement with your filing official.)

SCHEDULE A-1
Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 <small>FAIR POLITICAL PRACTICES COMMISSION</small> Name THOMAS JONATHAN
--

▶ NAME OF BUSINESS ENTITY
LRN

GENERAL DESCRIPTION OF THIS BUSINESS
LEGAL/ETHICAL TRAINING - CONSULTING

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other **LIMITED PARTNERSHIP**
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
____/____/15 ____/____/15
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
LOYAL 3

GENERAL DESCRIPTION OF THIS BUSINESS
STOCK BROKERAGE

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other **LIMITED PARTNERSHIP**
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
____/____/15 ____/____/15
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
AMERPRISE FINANCIAL

GENERAL DESCRIPTION OF THIS BUSINESS
FINANCIAL ADVISOR

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
____/____/15 ____/____/15
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
____/____/15 ____/____/15
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
SCHWAB INC

GENERAL DESCRIPTION OF THIS BUSINESS
FIANCIAL ADIVSOR

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
____/____/15 ____/____/15
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____
(Describe)

Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
____/____/15 ____/____/15
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM

700

FAIR POLITICAL PRACTICES COMMISSION

Name THOMAS JO

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE	NATURE OF STOCK	IF APPLICABLE, LIST DATE	
2U INC	Technology Services	\$2,000 - \$10,000	Stock	9/21/15	
3M	Capital Goods : Constr. - Supplies & Fix	\$2,000 - \$10,000	Stock		
AAC HOLDINGS INC	Healthcare Facilities	\$2,000 - \$10,000	Stock		
Abbott Laboratories	Biotechnology & Drugs	\$2,000 - \$10,000	Stock		
ABRAXAS	Oil and Gas Operations	\$2,000 - \$10,000	Stock	11/6/15	
ACCENTURE PLC IRELAND CLASS A NE	Business Management and Consulting	\$2,000 - \$10,000	Stock		
ACTAVIS INC CHG	Healthcare: Biotechnology & Drugs	\$2,000 - \$10,000	Stock		NAME CHANGE TO ALLERGAN PLC
ALCOA	Metal Mining	\$2,000 - \$10,000	Stock	2/26/15	
ALEXION PHARMACEUTICALS INC	Pharmaceuticals	\$2,000 - \$10,000	Stock		
ALLEGiant TRAVEL CO	Airline	\$2,000 - \$10,000	Stock		
ALPS	Trust	\$10,001 - \$100,000	Stock	7/29/15	
AMAZON.COM INC	Online Retail	\$2,000 - \$10,000	Stock	2/6/15	
AMERICAN EXPRESS COMPANY	Financial	\$2,000 - \$10,000	Stock		
AMERISOURCEBERGEN CORP	Medical Distributors	\$2,000 - \$10,000	Stock	5/1/15	
APPLE INC	Computer Technology	\$10,001 - \$100,000	Stock		
APPLIED OPTOELECTRONICS INC	Electronic Technology	\$2,000 - \$10,000	Stock	8/6/15	
AT&T	Telecom	\$10,001 - \$100,000	Stock		
AVAGO TECHNOLOGIES XXX LTD	Semiconductors	\$2,000 - \$10,000	Stock	5/29/15	
AVIS BUDGET GROUP INC	Services Rental & Leasing	\$2,000 - \$10,000	Stock		
BB&T Corporation	Regional Banks	\$2,000 - \$10,000	Stock		
BCE INC NEW	Communications Services	\$2,000 - \$10,000	Stock		
Becton Dickinson & Co	Medical Equipment & Supplies	\$2,000 - \$10,000	Stock		
BELLATRIX EXPLORATION LTD	Exploration, Development, and Production of Oil and Natural Gas	\$10,001 - \$100,000	Stock	6/15/15	
Biogen Idec Inc	Healthcare: Biotechnology & Drugs	\$2,000 - \$10,000	Stock	10/16/15	
BOOT BARN HOLDINGS INC	Retail	\$2,000 - \$10,000	Stock	1/8/15	

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM

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FAIR POLITICAL PRACTICES COMMISSION

Name THOMAS JO

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE	NATURE OF	IF APPLICABLE, LIST DATE	
BRISTOL MYERS SQUIBB COMPANY	Pharmaceuticals	\$2,000 - \$10,000	Stock		
BROOKDALE SENIOR LIV INC	Healthcare Facilities	\$2,000 - \$10,000	Stock		1/27/15
CABOT OIL & GAS CORP	Oil and Gas	\$2,000 - \$10,000	Stock	5/11/15	8/7/15
Canadian PAC Railway Ltd	Transportation Railways LTD	\$2,000 - \$10,000	Stock		5/20/19
CAPITAL ONE FINANCIAL CORP	Financial	\$2,000 - \$10,000	Stock	3/18/15	
CELGENE CORP	Biotechnology	\$2,000 - \$10,000	Stock		5/1/15
CHIPOTLE MEXICAN GRI INC	Restaurants	\$2,000 - \$10,000	Stock		5/28/15
Chubb Corporation	Insurance	\$2,000 - \$10,000	Stock		7/15/15
CISCO SYSTEMS INC	Computer Communications	\$2,000 - \$10,000	Stock		
Coca-Cola Company	Beverages, Production and Sales	\$2,000 - \$10,000	Stock		
COGNIZANT TECHNOLOGY SOLUTION	Information Technology Services	\$2,000 - \$10,000	Stock	5/19/15	7/6/15
Colgate-Pamolive	Consumer Cyclical: Personal Household	\$2,000 - \$10,000	Stock		
CONFORMIS INC	Medical Specialties	\$2,000 - \$10,000	Stock	7/1/15	12/17/15
CONSTELLATION BRANDS INC	Beverages	\$2,000 - \$10,000	Stock		
COPA HOLDINGS S A CL A	Transportation Airline	\$2,000 - \$10,000	Stock		5/17/15
COSTAR GROUP INC	Technology Computer Services	\$2,000 - \$10,000	Stock		
DAKOTA PLAINS HOLDINGS INC	Holdings Company	\$2,000 - \$10,000	Stock		11/6/15
Deere & Company	Agricultural Equipment	\$2,000 - \$10,000	Stock		
Delta Airlines Inc	Transportation Airline	\$2,000 - \$10,000	Stock		
DEXCOM INC	Medical Specialties	\$2,000 - \$10,000	Stock	8/12/15	10/16/15
Diageo	Consumer Cyclical Beverages	\$10,001 - \$100,000	Stock		
DIRECTV	Satellite Entertainment Communication	\$2,000 - \$10,000	Stock		
DIRTT ENVIRONMENTAL SOLUTIONS	Business Services	\$2,000 - \$10,000	Stock		
Discover Financial Services	Financial	\$10,001 - \$100,000	Stock		10/16/15
ECO STIM ENERGY SOLUTIONS	Oilfield Services/Equipment	\$2,000 - \$10,000	Stock	7/10/15	11/6/15

SCHEDULE A-1

Investments

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CALIFORNIA FORM

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F.A.R.P.O.L.I.T.I.C.A.L. P.R.A.C.T.I.C.E.S. C.O.M.M.I.S.S.I.O.N.

Name THOMAS JO

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NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE	NATURE OF	IF APPLICABLE, LIST DATE
EDWARDS LIFESCIENCES CORP	Medical Services Equipment	\$2,000 - \$10,000	Stock	
Emerson Electronic	Scientific & Technical Instr	\$2,000 - \$10,000	Stock	
ENPHASE ENERGY INC	Semiconducters	\$2,000 - \$10,000	Stock	11/6/15
EPAM SYSTEMS INC	Technology Services	\$2,000 - \$10,000	Stock	8/6/15
Estee Lauder Company, Inc.	Cosmetics	\$2,000 - \$10,000	Stock	11/2/15
Exxon Mobile Coproration	Oil and Gas	\$10,001 - \$100,000	Stock	
Facebook	Technology Computer Services	\$2,000 - \$10,000	Stock	
FIESTA RESTAURANT GROUP INC	Services Restaurants	\$10,001 - \$100,000	Stock	1/8/15
FIRST REPUBLIC BANK, SAN FRANCISCO	Banking	\$2,000 - \$10,000	Stock	3/13/15
FLEETCOR TECHNOLOGIES INC	Miscellaneous Commercial Services	\$2,000 - \$10,000	Stock	
GALLAGHER ARTHUR J & CO COM	Insurance	\$2,000 - \$10,000	Stock	
GARMIN LTD	Communications Equipment	\$2,000 - \$10,000	Stock	2/20/15
General Electric Company	Industrial Conglomerates	\$2,000 - \$10,000	Stock	
General Mills	Consumer Cyclical :Food Processing	\$2,000 - \$10,000	Stock	
GENUINE PARTS CO COM	Auto Parts	\$2,000 - \$10,000	Stock	
GIGAMON INC	Communications Equipment	\$2,000 - \$10,000	Stock	12/17/15
Gildan Activewear Inc	Apparel/Footward	\$2,000 - \$10,000	Stock	1/8/15
Gilead Sciences, Inc.	Pharmaceuticals	\$2,000 - \$10,000	Stock	
Glaukos Corporation	Medical Specialties	\$2,000 - \$10,000	Stock	6/26/15 11/6/15
Good Times Resteraunts INC	Restaurants	\$2,000 - \$10,000	Stock	5/8/15 11/6/15
Google Inc / Alphabet	Internet Software/Services	\$10,001 - \$100,000	Stock	
H&E EQUIPMENT SERVICES	Miscellaneous Commercial Services	\$2,000 - \$10,000	Stock	2/27/15 12/17/15
HALLIBURTON COMPANY	Oilfield Services/Equipment	\$2,000 - \$10,000	Stock	
HCA HOLDINGS INC	Healthcare Facilities	\$2,000 - \$10,000	Stock	3/17/15
HILTON WORLDWIDE HLDGS INC	Hotels/Resorts/Cruiselines	\$2,000 - \$10,000	Stock	2/12/15

SCHEDULE A-1

Investments

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CALIFORNIA FORM
FAIR POLITICAL PRACTICES COMMISSION

700

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NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE	NATURE OF STOCK	IF APPLICABLE, LIST DATE	
ILLINOIS TOOL WKS INC COM	Auto Parts	\$2,000 - \$10,000	Stock		
ILLUMINA INC	Scientific Industry	\$2,000 - \$10,000	Stock		10/7/15
INFOBLOX INC	Technology Software Programming	\$2,000 - \$10,000	Stock		11/6/15
Intel Corp	Semiconducters	\$2,000 - \$10,000	Stock		
INTERCONTINENTAL EXCHANGE INC	Investment Banks/Brokers	\$2,000 - \$10,000	Stock	2/26/15	7/2/15
INTUITIVE SURGICAL I NEW	Medical Equipment & Supplies	\$2,000 - \$10,000	Stock		
JOHNSON & JOHNSON COM	Biotechnology & Drugs	\$2,000 - \$10,000	Stock		
JPMORGAN CHASE & CO COM	Money Center Banks	\$2,000 - \$10,000	Stock		
KANSAS CITY SOUTHERN	Transportation Railways LTD	\$10,001 - \$100,000	Stock		
KINDER MORGAN INC	Oil and Gas Pipelines	\$2,000 - \$10,000	Stock	12/1/15	12/17/15
KOHL'S CORP	Retail	\$2,000 - \$10,000	Stock		9/22/15
Kornit Digital	Industrial Machinery	\$2,000 - \$10,000	Stock	7/1/15	11/6/15
L BRANDS INC	Retail	\$2,000 - \$10,000	Stock		
LAM RESEARCH CORP	Electronic Production Equipment	\$2,000 - \$10,000	Stock		
LEGGETT & PLATT INC	Consumer Cyclical : Furniture & Fixture	\$2,000 - \$10,000	Stock		
LGI HOMES INC	Capital Goods : Construction Services	\$2,000 - \$10,000	Stock		
LILLY ELI & COMPANY	Pharmaceuticals	\$2,000 - \$10,000	Stock	9/21/15	
LOCKHEED MARTIN CORP	Capital Goods : Aerospace & Defense	\$2,000 - \$10,000	Stock		
LOWES COMPANIES INC	Retail Home Improvements	\$2,000 - \$10,000	Stock		
Lululemon Athletic Inc	Retail Stores	\$2,000 - \$10,000	Stock		6/8/15
Lyondell Basell Industries N Vord SHS CL A	Chemicals: Specialty	\$2,000 - \$10,000	Stock		3/18/15
MALIBU BOATS INC	Recreational Equipment	\$2,000 - \$10,000	Stock		11/6/15
MARTIN MARIETTA MATERIALS INC	Construction Raw Materials	\$2,000 - \$10,000	Stock		
Masco Corp	Building Products	\$2,000 - \$10,000	Stock	8/7/15	
MASONITE INTL CORP NEW COM	Holdings Company	\$2,000 - \$10,000	Stock		6/15/15

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM
FAIR POLITICAL PRACTICES COMMISSION

700

Name THOMAS JO

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE	NATURE OF INTEREST	IF APPLICABLE, LIST DATE		
Mastercard, Inc.	Financial	\$2,000 - \$10,000	Stock			
MCDONALDS CORP	Restaurants	\$2,000 - \$10,000	Stock	11/10/15		
MCGRAW-HILL FINANCIA INC	Printing Publishing	\$2,000 - \$10,000	Stock			
MCKESSON CORP	Medical Distributors	\$2,000 - \$10,000	Stock			
MEG ENERGY CORP	Energy	\$2,000 - \$10,000	Stock		2/26/15	
MICROSOFT CORP	Software & Programming	\$2,000 - \$10,000	Stock			
MONDELEZ INTERNATIONAL INC CL A	FoodL Major Diversified	\$2,000 - \$10,000	Stock	10/14/15		
MORGAN STANLEY	Investment Banks/Brokers	\$2,000 - \$10,000	Stock		10/21/15	
MYLAN N V	Pharmaceuticals: Generic	\$2,000 - \$10,000	Stock	3/13/15	9/24/15	
National Oilwell Varco, Inc.	Oil and Gas Drilling Equipment	\$2,000 - \$10,000	Stock			
NESTLE SA ORD	Consumer Cyclical :Beverages	\$2,000 - \$10,000	Stock			
NETFLIX COM INC	Services : Broadcasting & Cable TV	\$2,000 - \$10,000	Stock			
Nike, Inc	Retail Stores	\$2,000 - \$10,000	Stock			
NOKIA CORPORATION	Communications Equipment	\$2,000 - \$10,000	Stock		2/26/15	
Northeast Utilities	Energy	\$10,001 - \$100,000	Stock			NAME CHANGE TO EVERSOURCE
NOVARTIS	Healthcare : Major Drugs	\$2,000 - \$10,000	Stock			
NV5 Global Inc	Miscellaneous Commercial Services	\$2,000 - \$10,000	Stock	5/29/15	11/6/15	
NXP SEMICONDUCTORS N V	Semiconducters	\$2,000 - \$10,000	Stock	1/29/15	12/16/15	
Occidental Petroleum Corporation	Petroleum Exploration and Production	\$2,000 - \$10,000	Stock			
OMNICOM GROUP INC	Advertising	\$2,000 - \$10,000	Stock			
ORACLE CORPORATION	Software & Programming	\$2,000 - \$10,000	Stock			
PALO ALTO NETWORKS INC	Computer Communications	\$2,000 - \$10,000	Stock	3/4/15		
PARKER HANNIFIN CORP	Misc. Fabricated Products	\$2,000 - \$10,000	Stock			
PAYPAL HOLDINGS INC	Data Processing Services	\$2,000 - \$10,000	Stock	7/22/15		
Pfizer, Inc.	Pharmaceuticals	\$2,000 - \$10,000	Stock			

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM
FAR POLITICAL PRACTICES COMMISSION

700

Name THOMAS JO

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NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE	NATURE OF	IF APPLICABLE, LIST DATE	
PHILIP MORRIS INTL	Tobacco	\$2,000 - \$10,000	Stock		
Pioneer Natrual Resources Company	Oil and Gas	\$2,000 - \$10,000	Stock	4/1/15	
PPG Industries Inc	Industrial Specialties	\$2,000 - \$10,000	Stock	11/16/15	
PRICE T ROWE GROUP	Investment Services	\$2,000 - \$10,000	Stock		
Priceline.com, Inc.	Online Travel Sales	\$2,000 - \$10,000	Stock	5/6/15	
Procter & Gamble	Household/Personal Care	\$2,000 - \$10,000	Stock		
PROOFPOINT	Technology Services	\$2,000 - \$10,000	Stock	2/26/15	
RAYTHEON CO	Aerospace & Defense	\$2,000 - \$10,000	Stock		
ROYAL CARIBBEAN CRUISES LIMITED	Water Transportation	\$2,000 - \$10,000	Stock	7/3/15	10/16/15
Salesforce.com, Inc.	Data Management Systems	\$2,000 - \$10,000	Stock		3/17/15
SERVICENOW INC	Information Technology Services	\$2,000 - \$10,000	Stock		
SHAKE SHACK	Restaurants	\$2,000 - \$10,000	Stock	1/30/15	5/27/15
Sherwin Williams Co	Industrial Specialties	\$2,000 - \$10,000	Stock		
Shopify	Information Technology Services	\$2,000 - \$10,000	Stock	5/21/15	7/31/15
SPIRIT AIRLINES INC	Transportation Airline	\$10,001 - \$100,000	Stock		
SPLUNK INC	Information Technology Services	\$2,000 - \$10,000	Stock		6/25/15
Starbucks Corporation	Coffee Retailer	\$2,000 - \$10,000	Stock		1/25/15
SYNCHRONY FINANCIAL	Finance/Rental/Leasing	\$2,000 - \$10,000	Stock	11/10/15	
T-MOBILE US INC	Wirless Communications	\$2,000 - \$10,000	Stock	8/17/15	11/2/15
TABLEAU SOFTWARE INC	Software and Programming	\$2,000 - \$10,000	Stock		
TARGET CORP	Retail	\$2,000 - \$10,000	Stock		
TEXAS INSTRUMENTS	Electronic Technology	\$2,000 - \$10,000	Stock	7/1/15	
TIFFANY AND COMPANY NEW	Specialty Stores	\$2,000 - \$10,000	Stock		1/31/15
TJX COMPANIES INC NEW	Retail	\$2,000 - \$10,000	Stock	8/14/19	
TOWER WATSON & COMPANY CL A	HR Consulting	\$2,000 - \$10,000	Stock	2/9/15	7/2/15

SOLD on GGHC/Hold on AMER

SOLD on GGHC/Hold on AMER

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM
FAR POLITICAL PRACTICES COMMISSION

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Name THOMAS JO

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NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE	NATURE OF	IF APPLICABLE, LIST DATE
Tractor Supply Company	Specialty Stores	\$2,000 - \$10,000	Stock	
TSO3 INC	Health Technology	\$2,000 - \$10,000	Stock	11/26/15
UBIQUITI NETWORKS	Communications Services	\$2,000 - \$10,000	Stock	7/6/15
Ulta Salon and Cosmetics	Retail Stores	<\$2000	Stock	
UNDER ARMOUR INC CL A	Apparel/Accessories	\$2,000 - \$10,000	Stock	
UNITED CONTINENTAL H INC	Airline	\$2,000 - \$10,000	Stock	
UNITED STATES STEEL NEW	Iron & Steel	\$2,000 - \$10,000	Stock	1/26/15
UNITED TECHNOLOGIES CORP	Aerospace & Defense	\$2,000 - \$10,000	Stock	
USA TRUCK INC	Trucking	\$2,000 - \$10,000	Stock	5/15/15 11/25/15
VERIZON COMMUNICATIONS	Telecom	\$2,000 - \$10,000	Stock	
VF Corporation	Retail	\$2,000 - \$10,000	Stock	
Visa, Inc.	Financial	\$2,000 - \$10,000	Stock	
WALGREENS BOOTS ALLIANCE INC	Retail Drugs	\$2,000 - \$10,000	Stock	4/20/15
WALT DISNEY CO	Media Conglomerates	\$2,000 - \$10,000	Stock	2/5/15 8/25/15
WELLS FARGO & CO NEW	Regional Banks	\$2,000 - \$10,000	Stock	
WESTERN DIGITAL CORP	Computer Storage Devices	\$2,000 - \$10,000	Stock	4/30/15
Workday	Information Technology Services	\$2,000 - \$10,000	Stock	3/5/15
Yum Brands	Restaurants	\$2,000 - \$10,000	Stock	11/2/15

SCHEDULE A-2
Investments, Income, and Assets
of Business Entities/Trusts
(Ownership Interest is 10% or Greater)

1. BUSINESS ENTITY OR TRUST
Saybrook Capital LLC
Name
11400 W.Olympic Blvd, Ste. 1400 Los Angeles, CA
Address (Business Address Acceptable)
Check one
[] Trust, go to 2 [] Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
[] \$0 - \$1,999 [] / / 15 [] / / 15
[] \$2,000 - \$10,000 [] ACQUIRED [] DISPOSED
[] \$10,001 - \$100,000
[] \$100,001 - \$1,000,000
[] Over \$1,000,000
NATURE OF INVESTMENT
[] Partnership [] Sole Proprietorship [] Other
YOUR BUSINESS POSITION

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

[] \$0 - \$499 [] \$10,001 - \$100,000
[] \$500 - \$1,000 [] OVER \$100,000
[] \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

[] None or [] Names listed below
N/A

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
[] INVESTMENT [] REAL PROPERTY
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property
Description of Business Activity or City or Other Precise Location of Real Property
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
[] \$2,000 - \$10,000 [] / / 15 [] / / 15
[] \$10,001 - \$100,000 [] ACQUIRED [] DISPOSED
[] \$100,001 - \$1,000,000
[] Over \$1,000,000
NATURE OF INTEREST
[] Property Ownership/Deed of Trust [] Stock [] Partnership
[] Leasehold [] Other
Yrs. remaining
[] Check box if additional schedules reporting investments or real property are attached

1. BUSINESS ENTITY OR TRUST
Name
Address (Business Address Acceptable)
Check one
[] Trust, go to 2 [] Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
[] \$0 - \$1,999 [] / / 15 [] / / 15
[] \$2,000 - \$10,000 [] ACQUIRED [] DISPOSED
[] \$10,001 - \$100,000
[] \$100,001 - \$1,000,000
[] Over \$1,000,000
NATURE OF INVESTMENT
[] Partnership [] Sole Proprietorship [] Other
YOUR BUSINESS POSITION

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

[] \$0 - \$499 [] \$10,001 - \$100,000
[] \$500 - \$1,000 [] OVER \$100,000
[] \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

[] None or [] Names listed below

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
[] INVESTMENT [] REAL PROPERTY
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property
Description of Business Activity or City or Other Precise Location of Real Property
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
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[] \$100,001 - \$1,000,000
[] Over \$1,000,000
NATURE OF INTEREST
[] Property Ownership/Deed of Trust [] Stock [] Partnership
[] Leasehold [] Other
Yrs. remaining
[] Check box if additional schedules reporting investments or real property are attached

Comments: